1	IN THE KENTUCKY PUBLIC SERVICE COMMISSION
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3	
4	IN RE: INVESTIGATION:
5	Case No. 2003-00433 AN ADJUSTMENT OF THE GAS AND ELECTRIC RATES
6	TERMS, AND CONDITIONS OF LOUISVILLE GAS AND ELECTRIC COMPANY
7	
8	and
9	
10	Case No. 2003-00434 AN ADJUSTMENT OF THE ELECTRIC RATES, TERMS, AND
11	CONDITIONS OF KENTUCKY UTILITIES COMPANY
12	
13	* * *
14	
15	SWORN STATEMENT
16	OF
17	RICHARD S. "SMITTY" TAYLOR
18	JULY 25, 2005
19	
20	
21	ELLEN L. COULTER, RPR Coulter Reporting, LLC
22	101 East Kentucky Street Suite 200
23	Louisville, Kentucky 40203 (502) 582-1627
24	FAX: (502) 587-6299 E-MAIL: CoulterLLC@bellsouth.net
25	

8/4/05 Mid

1	<u>INDEX</u>
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3	Exhibit No. 1
4	Exhibit No. 2 26
5	(Sign-in sheets for April 28, 2004 and May 4, 2004)
6	
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8	
9	<u>APPEARANCES</u>
10	
L l	SPECIAL GENERAL COUNSEL TO THE PUBLIC SERVICE COMMISSION:
L 2	JONATHAN D. GOLDBERG
L3	Goldberg & Simpson 3000 National City Tower
L 4	101 South Fifth Street Louisville, Kentucky 40202
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1 The sworn statement of RICHARD S. 2 "SMITTY" TAYLOR, taken in the offices of the Public 3 Service Commission, 211 Sower Boulevard, Frankfort, 4 Kentucky, on Monday, the 25th day of July, 2005, at 5 approximately 3:05 p.m. 6 7 EXAMINATION 8 9 BY MR. GOLDBERG: 10 0. Could you state your full name, sir. 11 Α. Richard S. Taylor. 12 All right. And do you have a nickname Q. 13 that you're known by? 14 Α. Yes, I do. My middle name is Smith 15 and so my nickname is Smitty. 16 All right, sir. And folks call you 0. 17 Smitty Taylor, do they not? 18 Α. Yes. 19 All right. Can you give me your Q. business address? 20 21 Α. 225 Capitol Avenue, Frankfort, 22 Kentucky. 23 Q. All right, sir. And your occupation? 24 Α. Attorney and governmental affairs 25 consultant.

1 Ο. Do you practice by yourself? Yes. I do some work with in-house 2 Α. counsel and some other outside counsel as an advisor 3 in some situations, but ... 4 (DEPOSITION EXHIBIT NO. 1 PREVIOUSLY 5 MARKED) 6 All right, sir. I'm going to show you 7 0. a letter which we'll make a part of this that I sent 8 to you, which is how I presumed you called me back to 9 10 schedule your statement. And the letter is just 11 simply to reference for you what I'm interested in. 12 I'm interested in the two rate-making cases, LG&E, 13 which was 2003-0043, and KU, which is entitled 14 2003-00434. You may recall what they originally 15 filed in December of 2003. Testimony, as often happens, is taken away from the hearing room, put 16 into the record, and then the actual first informal 17 conference occurred on April the 28th, 2004. So some 18 four or five months later. 19 20 Α. Mm-hmm. 21 Q. And my questions will all be in this 22 December 2003 through May -- May 12, actually, of 2005. 23 24 Α. All right, sir. 25 First let me ask you, were you Q.

1 retained to represent somebody with regard to these 2 two rate cases? 3 I, along with others, represented 4 North American Stainless, which is a customer of 5 Kentucky Utilities. 6 All right, sir. I've become familiar 7 with it today. I had Mr. Adams in here and Mr. --8 Α. Sanchez? 9 No, sir. Mr. Buechel, your expert. Q. 10 Oh, yeah, Chuck Buechel. Α. 11 Buechel, yeah, so I'm familiar with Q. 12 And you were counsel before the Public Service 13 Commission for them. 14 Α. Yes, along with Jay Brew and Bill 15 Jones. 16 Ο. All right, sir. Had you represented North American Stainless before? 17 18 Α. Yes, along with Bill Jones, who is 19 with the law firm of VanAntwerp, Mons, Jones & 20 Edwards in Ashland, has asked me to help him and 21 assist him in a number of different cases involving 22 NAS, with the Natural Resources Cabinet and with the 23 Revenue Cabinet, primarily. 24 Prior to the representation with North Ο. 25 American Stainless, had you made appearances before

the Public Service Commission before? 1 Yes. 2 Α. Okay. And I presume you were 3 Q. generally familiar with their rules, policies and 4 procedures. 5 I'm a former chairman of the Public Α. 6 Service Commission. 7 Really. Then I know you are. And I 8 Q. trust, then, you are familiar with the ex parte 9 procedures as it relates not only to the 10 commissioners but to the staff. 11 Α. Yes. 12 Fair enough. This morning I learned 13 0 . from North American Stainless that even prior to 14 December of 2003, they had issues with KU, who was 15 their supplier of energy, and had filed their own 16 rate case for some relief with regard to those rates. 17 Do you have a recollection of that? 18 19 Α. Yes. Okay. And I further learned that 20 Q. procedurally, that case, a tariff case filed by KU, 21 those two cases were consolidated into the two rate 22 23 cases 2003-00433 and 00434. 24 Α. That's correct. All right, sir. Tell me what you 25 Q.

first recall of your participation in these cases.

- A. You mean preliminary to the filing of the -- of the -- of any of these or starting with the filing of these cases?
- Q. Let's start with the filing of these cases, being the two rate-making cases.
- A. All right. North American Stainless had a special contract with Kentucky Utilities of which had every green provision, I believe, that it was automatically renewed unless NAS gave KU notice of cancellation, which they did one year in advance, as was required by the contract. NAS attempted to negotiate with KU concerning their rates, and they -- they were informed that KU would file a new tariff, which they did, I think, in October of '03. NAS had filed a complaint case against them prior to that, in September of '03, and that's the cases you're talking about being consolidated.
  - Q. Yes, sir.
- A. And subsequently in December, I think, of '03, that's when KU and LG&E filed their rate cases, and those cases were ultimately consolidated. Prior to that time, myself and Bill Jones and others had some meetings with the PSC staff, primarily Tom Dorman, who was executive director at the time --

told us of concerns that we had about lack of supply 1 of electricity for NAS. We actually were seeking 2 procedural advice about what we might do, and it 3 ultimately concluded that the only relief that we 4 could get was to file a complaint, which we did. 5 And basically we just filed our 6 pleadings and got the procedural orders and resisted 7 consolidation of any of the cases which the 8 commission chose to consolidate. And other than 9 that, my role in the case was primarily an advisor. 10 We had an attorney from Washington by the name of Jay 11 Brew that -- sort of an expert in steel companies and 12 13 their -- their contracts with electric companies, and 14 he was primarily the lead counsel. 15 All right, sir. Is it safe to say Q. Mr. Brew is the lead negotiator? 16 17 Α. Yes. 18 Do I take it you did attend some of Q. the sessions? 19 I attended, I suppose, all of them, 20 Α. 21 that I'm aware of. 22 What I want to do is walk through some 0. of these sign-in sheets with you and give you the 23 dates that there was actual meetings. 24

everything else, if you walk in the door and don't

sign in, your name may not appear --1 Right. 2 Α. -- and you may have been here. 3 Ο. The first meeting after the filing of 4 the complaint and the consolidation was on April the 5 28th, 2004 at an informal conference. And you'll see 6 your name on that particular document as having 7 8 attended. Do you see your name, sir? 9 Α. Yes. 10 0. Okay. I trust you have some 11 recollection of having attended an informal 12 conference? 13 Α. Yes. 14 Okay. Memory is always fleeting, but Q. 15 my understanding of the informal conference is simply a conference to get organized about how the matter is 16 17 going to move forward from that point in time. 18 correct? I think they were seeing if they 19 Yes. Α. 20 could -- could compromise on any issues and thereby reduce the length of time of the hearing and the 21 complication of the hearing, and that's usually 22 what's talked about in some circumstances. 23 24 All right, sir. And how long do you recall that particular meeting taking place? 25

- A. I don't have any idea, to be honest
  with you. Could have been two hours, could have been
  four hours. I don't know.
  - Q. Fair enough. Prior to the informal conference, did you have an opportunity to meet with the attorney general about any of the issues in the two rate-making cases?
    - A. No.

1.3

1.5

- Q. Okay. And prior to the informal conference, had you participated in any meetings between NAS and KU, presuming there were any?
- A. I can't honestly remember whether we met after the -- after the tariff case was filed.

  And that was 2003-00396. We had a meeting at NAS with KU and tried -- and -- where basically they were explaining to NAS the -- how their new tariff that they were proposing to file would work for them, but that's the only meeting that I recall having prior to -- that specifically recall having prior to the filing of those cases.
- Q. All right, sir. And you don't remember having any meetings with the attorney general prior to April 28, 2004?
- A. I don't remember it. I'm not saying I didn't, but I don't remember if I did.

- Q. You don't have any recollection of that.
- 3 A. No.

- Q. Let me show you one -- a hearing sign-in sheet dated May the 4th that also has your name on it.
  - A. Yes, it does.
  - Q. Do you have a recollection of having been here for the purposes of hearings and/or negotiation?
    - A. Yes.
- Q. Okay. Tell me what you recall, if you can, specifically of your May 4th appearance.
  - hearing, as I recall. And I believe initially -- I don't know whether -- I don't remember whether the hearing got formally started or not. It may have gotten formally started, but at some point in time the parties wanted to see if they could negotiate a settlement, all the parties. And actually what we did, we -- we'd hear the case for a while and then negotiate for a while. And this took place over I'm going to say three or four days. I can't remember whether the hearing started on a Monday or Tuesday, but primarily that week was -- primarily it was

1 negotiations. And as it ended up, I think all parties had agreed on all the issues with the 2 exception of the attorney general. And the attorney 3 4 general and KU/LG&E had a disagreement as far as 5 revenue requirements were concerned. 6 All right, sir. With regard to 7 revenue requirements, you mean the amount of any rate 8 increase? The amount of the total revenue that 9 Α. 10 would be generated by an increase in rates. 11 Okay. My understanding was there were really two issues involved. One, how much an 12 13 increase there should be, and, two, how it should be 14 divided up. 15 Α. Yes. 16 All right. And is my understanding 17 correct that the attorney general ended up in 18 agreement on how the rate should be divided up --19 Α. Yes. 20 -- but not in how much? Ο. 21 Α. Yes. 22 Okay. Now, using the 4th as a 0. 23 Tuesday, the negotiations I presume went over a 24 three-day period. I'm showing May 4th, 5th and 6th, 25 and that there was some -- whatever the settlement

```
was, was obtained on the final day, the 6th.
 1
                                                    Ιs
     that --
 2
             Α.
                    I don't recall the exact date, but I
 3
     would not disagree with those dates.
 4
                    Okay. While I'm seeing you present on
 5
             Ο.
     the -- let's see -- 28th and the 4th, I'm not seeing
 6
     you present on the 5th or the 6th by the sign-in
 7
     sheets. It doesn't mean that you weren't there.
                                                         Ιt
 8
     just means --
 9
10
             Α.
                    Means I didn't sign in. I was there
     because we spent --
11
12
                    All right. I guess the easiest way to
             Q.
     do it is to place you in time and space. You were
13
     there at all times when North American Stainless was
14
     negotiating with regard to these rates. Am I
15
16
     correct?
                    Yeah, that's correct.
1.7
             Α.
                    Regardless of what the sign-in sheet
18
             Ο.
19
     says.
                    Regardless of what the sign-in sheet
20
             Α.
21
     says.
22
                    All right. And that was my general
             0.
     understanding. Tell me what you recall about the
23
     negotiation process. One, was everyone together?
24
                    No. Actually, we were divided into
25
             Α.
```

groups.

1

17

18

19

20

21

22

23

24

- Q. All right.
- I mean, the attorney general was one 3 Α. place, LG&E/KU was another place, KIUC, Kentucky 4 Industrial Utility Consumers, was another place, and 5 some other interveners from Lexington, I think. 6 Everyone sort of had a separate room. And the way we 7 negotiated it, LG&E would negotiate with others and 8 then would come in the room that we were in and try 9 to negotiate a settlement with our interest as far as 10 NAS was concerned. And there was an awfully lot of 11 down time that actually we'd sit in the room and did 12 13 nothing but kind of -- but talk about what we thought 14 we should -- how we should negotiate and what we 15 wanted out of -- as a result of the negotiations. But there was awfully lot of down time on our part. 16
  - Q. All right, sir. As I understood the negotiations, NAS and you and your colleagues, as representatives, would negotiate with KU. And then when there were issues that were resolved, it would be reported to the group as a whole.
    - A. Yes, sir.
  - Q. But -- but NAS had its own set of issues with KU, which got consolidated or was part of the case that they had filed in October of 2003.

- A. That's right, and actually our case was filed in September of '03.
  - Q. September of '03.
  - A. But that's correct.
  - Q. Okay. Thus the need for separate discussions within the confines of the settlement as a whole, correct?
    - A. Yes.

1.3

- Q. Okay. Now, did the staff or anybody from the staff participate in those discussions between LG&E -- I'm sorry, KU and NAS, or was that just NAS and KU discussion?
- A. I'm not going to say that staff did not come in, but they did not take any part in -- in the merits of the case as far as negotiations was concerned. They may have come in and said, "How are you doing? Are you making any progress?" those type things. But as far as negotiating any settlement involved and being involved heavily in the negotiations and trying to sway one party one way or the other, I don't recall that. I don't think they were.
- Q. Do you have a recollection of staff having participated in the general settlement discussion?

1 Α. Yes. All right. I'm going to ask you some 2 Ο. 3 specifics about individuals in a minute. Now, with 4 regard to the attorney general, did the attorney general participate in the specific discussions with 5 NAS and KU? 6 7 Α. No. And I trust none of the others such as 8 Ο. 9 KIUC or Legal Aid Society participated in those 10 discussions. We did have some discussions with 11 Α. No. 12 KIUC about what our position was. And subsequent to 13 that NAS joined KIUC, the association, which they weren't a member of. We did talk to them about some 14 15 of our issues. But as far as their being in 16 discussions with KU, I don't think that they were 17 involved in any of our discussions. 18 All right. So that I'm clear on this, Q. 19 between the time NAS's case was filed in September of 20 2003 until the informal conference, April 28, 2004, 21 there were no discussions with the attorney 22 general --23 Α. No. 24 -- and NAS that you recall. Q. 25 That I recall, no. Α.

Okay. And there were no discussions 1 Q. 2 other than discussions about how to file --3 That was prior to any filing. Ο. All right. Fair enough. So then 4 prior to September of 2003. 5 6 Α. Yes. After September of 2003, there were no 7 Ο. 8 discussions with the staff of the Public Service 9 Commission. 10 Α. No. 11 Did you yourself have any discussions 12 about anything to do with NAS' complaint or about the 13 two rate-making cases with any of the commission 14 members? 15 Α. No. 16 Q. Okay. At any time? 17 Α. No. 18 All right. And with regard -- let me Q. 19 ask you the same question with regard to the staff. 20 Did you have any discussions with any members of the 21 staff --22 You know, I could have, but if I had, Α. 23 it would have been procedurally about maybe has LG&E 24 filed answers to data requests. I mean, it was minor 25 things of that nature. Nothing about the merits of

```
the case whatsoever.
1
                     All right, sir. I take it as a former
2
     chairman of the commission you recognize that any
3
     conversations on the merits would be an ex parte
 4
     conversation?
 5
                     Yes.
             Α.
 6
                     And thus you did not participate in
             Q.
7
8
     that.
                     I did not participate.
             Α.
 9
                    Okay. Let me ask you about some
10
             Q.
     individuals, and you have answered this question
11
     generally, but I want to make sure specifically.
12
                     Okay.
13
             Α.
                     Are you familiar with Ms. Beth
14
              Q.
     O'Donnell?
15
              Α.
                     Yes.
16
                     Okay. Did you have any discussions
17
              Q.
     with her about the two rate-making cases?
18
              Α.
                     No.
19
                     Okay. You're obviously familiar with
20
21
     Chairman Mark David Goss. Did you have any
     conversations --
22
              Α.
                     No.
23
                     -- with him at any time?
24
              0.
                     Not that I can recall, no.
              Α.
25
```

```
Q. All right, sir. Ms. Ellen Williams,
1
     are you familiar with her?
 2
             Α.
                    Yes.
 3
                    Did you have any conversations with
 4
     her about the two rate-making cases?
 5
                    Not that I recall.
 6
                    All right, sir. Are you familiar with
 7
     Chairman -- former Chairman Marty Huelsman?
 8
 9
             Α.
                    Yes.
                    Did you have any conversations with
10
             Ο.
11
     him --
12
             Α.
                    No.
                    -- about the two rate-making cases?
13
             Ο.
                    Okay. How long have you known
14
     Mr. Huelsman, a long time?
15
                   Actually, when he became chairman of
16
     the commission, I guess is when I met him.
17
                    Okay. From the time he became
18
             0.
     chairman of the commission until his retirement,
19
     which would have been sometime in May 2004, did you
20
     have occasion to see him socially?
21
                    Yes, I think I did.
22
             Α.
                    All right, sir. By way of example,
23
             Q.
     would you go to lunch with him from time to time?
24
                    I think I had dinner with him one
             Α.
25
```

```
1
     evening.
                    All right. Do you recall when that
2
             Q.
3
     was?
                     No.
4
             Α.
                     All right. Do you recall --
             Q.
5
                     I mean, long before this case came
             Α.
6
7
     about.
                     Before September of 2003?
8
             0.
9
             Α.
                    Yes.
                     Okay. Fair enough. So obviously you
10
             Q.
     would not have talked with Mr. Huelsman at dinner or
11
     in any social context about these two rate-making
12
13
     cases.
                    No, sir.
             Α.
14
                     Fair enough. Are you familiar with
15
             Q.
16
     Mr. Gary Gillis?
             Α.
                     Yes.
17
                     Okay. Have you had occasion to speak
18
              Q.
     to him about the two rate-making cases?
19
20
              Α.
                     No.
                     Have you ever had occasion to be with
21
22
     Mr. Gillis socially?
                     I think that I went to his house -- I
              Α.
23
     think he had an engagement or some sort of reception
24
     for a mutual friend. And right now I can't even
25
```

```
think who the mutual friend was, but that's the only
1
2
     occasion that I can recall.
                    Do you think it was before the NAS
             Q.
3
4
     case?
                     Long -- long before this case.
5
             Α.
                    All right, sir. So clearly no
6
     conversations with him about the two rate-making
7
8
     cases?
                     No.
9
             Α.
                     And no conversations with him about
10
             Q.
11
     NAS?
12
                     No.
             Α.
                     Okay. Do you know Mr. Tom Dorman?
13
             Q.
             Α.
                    Yes.
14
                     How long have you known Mr. Dorman?
15
             Ο.
                     Long time.
16
             Α.
                     You knew him when he was executive
17
             Ο.
     director the first time, probably.
18
                     When --
             Α.
19
                     No, he was a commission member.
20
              Ο,
                     -- he was executive director. He -- I
21
             Α.
     was the secretary of the governor's executive cabinet
22
     in the Wilkinson administration, and he was my
23
     legislative liaison. So I've known him --
24
                     Well --
25
              Q.
```

```
-- since early 1980, '80s I would say,
             Α.
1
2
     maybe '70s.
                     With regard to the two rate-making
3
             Q.
     cases, have you ever had a discussion with Mr. Dorman
4
5
     about them?
                     I did not have any discussions with
6
             Α.
7
     Tom Dorman after --
                     September of 2003?
             Ο.
8
                     -- September of -- September 23rd,
9
             Α.
           That was the day I think our complaint was
10
     '03.
11
     filed.
                     All right, sir. You had no
12
             Q.
     discussions with him about NAS and its complaint.
13
                     Did you have any discussions with him
14
     about the rate-making cases filed by --
15
16
             Α.
                     No.
                     -- LG&E and KU?
17
             Q.
                     No.
18
             Α.
                     All right, sir. Are you familiar with
19
             Q.
     Mr. Isaac Scott?
20
                     Yes.
21
             Α.
                    Did you have any conversations with
22
             Q.
23
     him --
24
             Α.
                     No.
                     -- about the rate-making cases?
25
              Q.
```

```
1
              Α.
                     No.
 2
              Q.
                     Okay. Are you familiar with Mr. Bob
 3
     Amato?
                     Yes.
 4
              Α.
 5
              Q.
                     Did you have any conversations with
 б
     him about --
 7
              Α.
                     No.
 8
              Q.
                     -- the two rate-making cases?
                     Not that I'm aware of.
 9
              Α.
10
              Q.
                     And Ms. Andrea Edwards, do you know
11
     her?
12
              Α.
                    The name is familiar, but I can't
13
     place her.
14
                    All right, sir. Mr. Faud Sharifi, are
              Q.
15
     you familiar with him?
16
              Α.
                     Yes.
17
              Q.
                    Did you have any conversations with
     him?
18
19
             Α.
                     No.
20
              Q.
                    Okay. Ms. Martha Morton, are you
21
     familiar with her?
22
             Α.
                    Yes.
                    And did you have any conversations
23
             Q.
24
     with her?
25
             Α.
                     No.
```

Okay. Mr. Jeff Shaw, are you familiar Q. 1 with him? 2 Yes. Α. 3 And did you have any conversations Q. 4 with him about the two rate-making cases? 5 Not after September of '03. 6 Okay. Prior to September '03, was he 7 Q. part of your conversation --8 He was called in with one 9 Α. conversation, I think, to -- concerning the special 10 contract that NAS had and the expiration clause and 11 what might happen as far as our service with KU was 12 concerned, but other than that, that's the nature of 13 14 it. All right, sir. And Mr. Richard Raff, 15 0. did you have any conversations --16 I would give you the same answer about 17 Α. Shaw that -- that pertained to Richard. 18 Okay. So it was prior to September of Ο. 19 2003. 2.0 Yes. And if you're familiar with 21 Α. Mr. Raff, he wouldn't have talked to you anyway. 22 Yes, I am. And clearly you did not 23 0. speak to Mr. Raff about the two rate-making cases 24 other than as part of the settlement discussions with 25

the whole? 1 Yes. 2 Α. I take it, Mr. Taylor, you're 0. Okay. 3 not familiar with any conversations, then, after 4 September of 2003 with the staff or the 5 commissioners. 6 No, I'm not. 7 Α. All right. Do you have any 8 0. information at any time, Mr. Taylor, about 9 conversations of an ex parte nature in the two 10 rate-making cases? 11 After they were filed? 12 Α. Yes, sir. 13 Q. Personally, I do not have, no. 14 Α. All right. Are you aware, by hearsay 15 Ο. or otherwise, of any? 16 Well, I've seen some of the documents 17 Α. that the attorney general filed, and obviously there 18 were numerous phone conversations between officials 19 at LG&E, primarily George Siemens and members of the 20 staff and I think primarily Tom Dorman. What those 21 phone conversations were about, I have no idea, but 22 there were numerous conversations that were --23 records were filed with -- some document the attorney 24 25 general filed out here.

```
Q. Okay. Other than those, are you
1
     familiar with any?
2
                    No.
3
             Α.
                    MR. GOLDBERG: All right. Fair
 4
     enough. That's all I have, sir. Thanks much.
5
     Appreciate it.
 6
                    THE WITNESS: All right.
 7
                    (DEPOSITION EXHIBIT NO. 2 PREVIOUSLY
8
9
                     MARKED)
10
                    (STATEMENT CONCLUDED AT 3:30 P.M.)
11
12
13
14
15
16
17
18
19
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21
22
23
24
25
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1	STATE OF KENTUCKY ) ( ) ( SS:
2	COUNTY OF JEFFERSON ) (
3	
4	I, ELLEN L. COULTER, Notary Public,
5	State of Kentucky at Large, hereby certify that the foregoing sworn statement was taken at the time and
6	place stated in the caption; that the appearances were as set forth in the caption; that prior to
7	giving testimony the witness was first duly sworn by me; that said testimony was taken down by me in
8	stenographic notes and thereafter reduced under my supervision to the foregoing typewritten pages and
9	that said typewritten transcript is a true, accurate and complete record of my stenographic notes so
1.0	taken.  I further certify that I am not
11	related by blood or marriage to any of the parties hereto and that I have no interest in the outcome of
12	captioned case.  My commission as Notary Public expires
13	November 5, 2007.  Given under my hand this the
14	day of Major , 2005, at Louisville,
15	Kentucky.
16	My Lla Mar
17	(All / Clubber
18	ELLEN L. COULTER NOTARY PUBLIC
19	NOTHINI LOBBLO
20	
21	
22	
23	
2 4	
25	

1	I, the undersigned, RICHARD S. TAYLOR, do
2	hereby certify that I have read the foregoing sworn
3	statement, and that, to the best of my knowledge,
4	said sworn statement is true and accurate, with the
5	exception of the corrections, if any, listed on the
6	errata sheet.
7	AD. The
8	Justing De Jan
9	RICHARD S. TAYLOR
l O	
11	Subscribed and sworn to before me this <u>11th</u>
12	day of October , 2005.
1 3	
14	
1.5	1
16	NOTARY PUBLIC
17	
18	
19	My commission expires 9-16-2007
20	
21	
2 2	
23	
2 4	
25	
	1

#### COULTER REPORTING, LLC 101 EAST KENTUCKY STREET, SUITE 200 LOUISVILLE, KY 40203

#### ERRATA SHEET

NAME $RICHARDS.TAYLOR$ date of deposition $7/25/05$
After having read my deposition, I wish to make the following changes:
Page Line
Change
Change
Page Line
Dagger for shapes
Change
Page Line
Change
Change
Page Line
Change
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# COLDBERG & SIMPSO

June 29, 2005

FRED M. COLDERO JOHATHAN D. COLDERAC MITCH CHARNEY STEVEN & ODODHANA CHARLES H. CASSIS DAYID H. COOPER" JAN N. WILL SHEART F PORTOR-COAL RUSSELL DAVID B ORAT HAMELUY A DRAM JERROLD R. PERCHIK WATHE E. WILSON STEPHANIE L. MORGAN-WHITE RICHARD & TINSUEY ARMAND ! !UDAH RICHARD T. FRANK STEPHEN R SOLOMON J. MICHAEL WELLS BRYAN C. PIERCE

OF COUNTER ROHALD Y SIMPSON Q. HUNT ROUNSAYALL, JR. CHRISTINA DRUMMOND DENNER

STACRY A. HUSE

MATTHEW J. MARTINEZ MATTHEW D. WATKINS"

JENNIFER KABLIN LUHRS

AARON I. SILLETTO

KEVIN P. WEIS

#### MARY A. MAPLE (191)-2003)

\*ALSO ADMITTED OHIO "ALSO ADMITTED INDIANA TALSO ADMITTED ALABAMA TALSO ADMITTED DISTRICT OF COLUMBIA

\*ALSO ADMITTED ILLINOIS

Public Service Courses of in the Matter of, or Louisville Gas and Servic Company for an of the Gas and Servic Rates, Terms and ICASE NO 2006 2006 2 and to the Matter of the Electric Rates, Terms and Conditions; 2003-00434

Dear Mr. Taylor.

The undersigned is special general counsel to the Public Service Commission ("PSC").

We are conducting a civil investigation on behalf of the PSC of potential ex parte communication(s) in the above-referenced case. We have identified you as a participant in the proceeding by analysis of the c record of this case. We will be seeking the cooperation of all the The case and would very much appreciate your assistance and would very much appreciate your assistance the proceedings in this case.

The case and would very much appreciate your assistance the proceedings in this case.

The case are the proceedings in this case.

The same of the statement will require only 30 to 45 minutes, and to ask in a mercure of the PSC in Frankfort or if you unavailable in the property of the PSC in Frankfort or if you unavailable in the property of the propert

linvestigation may se campleted as soon as possible. If you have any questions, please do not hesitate to call upon me.

Respectfully,

Jonathan D. Goldberg

自己提供证

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LAW OFFICES

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NAME	WITH		
Draw Laws	Pos - Emmo Anelysis		
RICHARD RAFF	ASC-LEGAL		
Mike Kurtz	KIUC		
BAVID BOEMM	KIVC		
Dennis Noward II	ART		
Borry Blackforn	015		
Musec Gran	LC6/k4		
Kell R Ryin	agelin Newl a Cell for cate for		
Ster Seelje	LGE/KO		
KENT BLAKE	LGE/KY		
Ohn Mª Coll	LG+5/FU		
DAMAS DARKN	LERE/RU		
Walter Salas	L018/EU		
Redort West	LG NE/KU		
Dard le Gours	Gogen		
Geoff Young	KDOE - EMC		
Jue Childers	KACA/CAC.		
Iris Skidmana	KDOE- EPPC		
•	EXHIBIT		

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NAME	WITH		
disa Kelhelle	MHNA 4 POWER		
DAVE BARBERIE	L FUCG		
Chuck Buechel	NAS		
Miguel Sanchez	NAS		
NAMEL ADAMS	NAS:		
Kni Mc Ca	NAS.		
SmiTty TAGlOR	NAS		
Jay Brew	NAS		
BILL JONES	NAS		
806 Amato	Psc		
Andrea Edwards	PSC		
Fand Starifi	P5 C		
Martha Moder	-PJC		
Foff Show	125		

Hearing: LG.E/KU

Case Number: 2003-00433/2003-00434

Location: HR#1

Today's Date: 5/4/04

NAME	COMPANY
allyson Stureion	Coursel for 6+4/4
Are Comes.	Causel for LGIE/KY
Mike Kurtz	KIUC
John Wolfran	LEETKY
Parel Thompson	<u> </u>
Chris Hermann	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
Butch Cockerill	
ROBERT ROWNER.	11
Celest Ware	//
Kart w.B.len	V
akelter Sales	11
John Mc Call	ι/
Don Wiggins	Concerned Citizens & Businessing.
David A. McConnick	Concerned Citizens & Businessmen. US Dept. of Defense a bedent Ayon i'er
VILStuffer	642
BRAD RIVES	UE E
HOWARD BUSTO	250
Dennis (downowly	UAR
Albert BowmAN	FEW80

Hearing: LG+E/KU

Case Number: 2003-00433/2003-00434

Location: HR#1

Today's Date: 5/4/04

NAME	COMPANY
DAMS Blown	K1662
Day Brew	1/45
Rebecca Brangers	KACA
DOEDESPARN O	Prosec.
Martyo Galles	1675
Edward Gurdner	L-Fuc6
FACE BUREH	Comming AcTION COUNCIL
Morquel Sauchez	NAS
William Jones	NAS
Jeett Do-x145	Capital Link (NAS)
Geoff Young	Ky. Division of Energy
Chuck Buchl	NAS
Clephu Ceres	WARB-TU
Tris Slamore	EAPC-OLS
Loc filler	MHNA I POWER
Ben Allen	YUNKER+ ASSOCIATS
Jue Childen	KACA / CAC
Carl Weaver	At Gen Ofc

Hearing: LG.E/KU

Case Number: 2003-00433/2003-00434

Location: HR#1

Today's Date: 5/4/04

NAME	COMPANY
Buly Bhylefood	asq
Robert Venkus	0,44
Chris Wheelan	(6~ F
Chip. Krolevy	
Auli Mahud	-{
MIKE BEEN	n
DOT 0. BHKA	, ,
DAVID BOEHM	RIUC
- Lilen	LGAE LLTE/KD
Close Murdey	L6'4E '
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You sealon	CJ
DAVO BARBORIE	LEUCO
PRA Com	L6+E
John Dodhu	Stand Energy
Ta Mc (lun)	NE J
al Bred	A O
Droth. Anth	1 114

Hearing: LG+E / KD

Case Number: 2003.00433/2003-00454

Location: 484.1

Today's Date: 5/4/04

NAME	COMPANY
Marlene Herrington	bourt Reporter
· David Freibers	KULL 64E
Valerie Sort	L:G + E
Bill Walf	Courier Journal
Keith Velade	MHNL
Jon Parker	CAC
Carol Mish	WHAS TV
Forcest Class	WHAS TV
NAT Adams	North American Stainless
Carolin Wade	WIVQ .
Charles Watson	WTVQ
Russel Hudson	Lble
Tom Priscu	DeD
	,

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